

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	www.herkimer.yourtasc.com
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

Procurement Transactions Listing:

1. Vendor Name: D'arcangelo & Company

2. Vendor Name: RJ Malone

Type of Procurement: Financial Services
 Award Process: Non Contract Procurement/Purchase Order
 Award Date:
 End Date:
 Amount:
 Amount Expended for Fiscal Year: \$6,500
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 200 East Garden Street
 Address Line2: PO Box 4300
 City: ROME
 State: NY
 Postal Code: 13440
 Plus 4: 4325
 Province Region:
 Country: USA

Procurement Description: Audit Services

Type of Procurement: Legal Services
 Award Process: Non Contract Procurement/Purchase Order
 Award Date:
 End Date:
 Amount:
 Amount Expended for Fiscal Year: \$5,000
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 16 Lewis Street
 Address Line2:
 City: LITTLE FALLS
 State: NY
 Postal Code: 13365
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Legal Services

Procurement Report for Herkimer Tobacco Asset Securitization Corporation

Run Date: 06/05/2017

Fiscal Year Ending: 12/31/2016

Status: CERTIFIED

Procurement Transactions Listing:

Additional Comments:

This procurement report is subject to approval at the annual meeting.